

Office of the City Controller
Audit Division Project Status
September 30, 2019

Report/Project on 2020 Audit Plan	Source of Proposed Engagement	FY2020 Actual	Report/Project	Type of Audit	Completion Status
1	FY2020 Audit Plan		City-Wide Take Home Vehicles	Performance / Compliance	Work has not begun
2	FY2020 Audit Plan		FIN - Procurement Process	Performance / Compliance	Work has not begun
3	FY2020 Audit Plan	✓	GSD Comfort Systems USA	Performance / Contract Compliance	Planning Phase
4	FY2020 Audit Plan		HCDD - Homeowner Assistance Program	Performance / Compliance	Work has not begun
5	FY2020 Audit Plan		HAS - IAH Terminal Redevelopment Program	Performance / Contract Compliance	Work has not begun
6	FY2020 Audit Plan	✓	HPD Asset Forfeiture	Performance / Compliance	Planning Phase
7	FY2020 Audit Plan		HPD Auto Dealers Detail	Performance / Compliance	Work has not begun
8	FY2020 Audit Plan		HPW - Permitting	Performance / Compliance	Work has not begun
Carryover from FY2019					
9	FY2018 Audit Plan	✓	Special Revenue Funds	Performance / Process Review	HPW issued as 2020-03; FIN in reporting phase
10	FY2019 Audit Plan	✓	HFD - Arson Bureau	Performance / Compliance	Reporting Phase
11	FY2019 Audit Plan	✓	Houston First Corporation	Performance / Contract Compliance	Reporting Phase
12	FY2017 Audit Plan	✓	HPL Cash Handling	Performance / Compliance	Report issued as 2020-01
Alternative/ Optional					
13			ARA - Payroll Application Security Controls Review	Performance / Compliance	Open
14			HASDC - Process Review	Process Review	Open
15			HPD - Property/Evidence Room	Performance / Compliance	Open
16			OBO - Certification	Performance / Compliance	Open
17			PWE - Automation Nation	Performance / Contract Compliance	Open
Recurring & Special Projects					
18		✓	Citizen Centric Reporting (CCR) Update	Annual Reporting	In Progress
19	Audit Plan		City-Wide Harvey Recovery		Open
20	Audit Plan / Required		FY 2020 Enterprise Risk Assessment Update	Required	Open
21	Audit Plan / Required	✓	Follow-up Audits	Performance / Required	FIN - Reporting Phase, HPARD - Planning phase, HPD - Planning phase
22	Audit Plan / Required		Fraud Consideration/Reporting/Hotline	Required	Ongoing
23	Audit Plan / Required		Quality Assurance	Required	Open